# Measurements of $\left|V_{ub} ight|$ and $\left|V_{cb} ight|$ at BABAR

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### Particle and Nuclei International Conference

October 23-28, 2005 Santa Fe, NM, USA

(on behalf of the BABAR Collaboration)

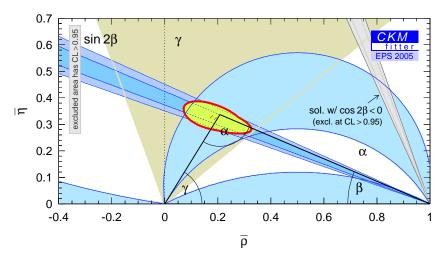


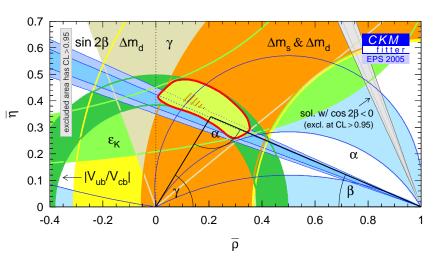




#### **Motivations**

- $\ \square$   $\ B$  factories has improved our understanding of CP violation :
  - $\diamondsuit$  sin  $2\beta = 0.687 \pm 0.032$  (a precision measurement of 4.7%)
  - **←** This precision outstripped the other measurements
- $\square$  As for today measurements  $|V_{ub}|$  and  $|V_{cb}|$  are complementary to sin~2eta





**♦** Using only angle measurements

- **♦ Without angle measurements**
- $\Box$  It is clear that we have to make the green ring  $(\frac{|V_{ub}|}{|V_{cb}|})$  thinner in order to make a stringent test on the Standard Model
- $\square$  We need to improve a precision of  $|V_{ub}|$  (especially) and  $|V_{cb}|$

## Semileptonic B Decays

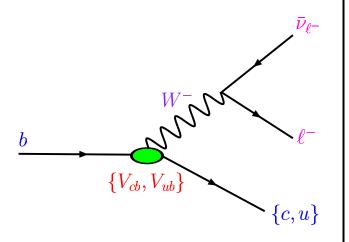
- $\diamondsuit$  Why do we use semileptonic B Decays ?
  - ☐ Simple theoretical description at parton level
  - $\square$  B flavor can be identified from charge of lepton
  - $\square$  Coupling at  $W^-$  is propotional to  $|V_{ub}|$  and  $|V_{cb}|$  which is directly related in its decay rate :

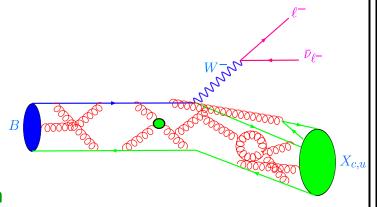
$$ullet$$
  $\Gamma(b o u\ell
u)=rac{G_F^2}{192\pi^2}|V_{ub}|^2m_b^5$ 

$$ullet \Gamma(b o c\ell
u) = rac{G_F^2}{192\pi^2} |V_{cb}|^2 m_b^2 (m_b-m_c)^3$$



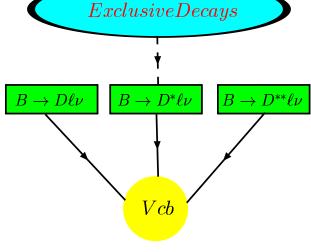
- $\square$  Sensitive to strong interactions in B decays
  - ullet Exclusive measurements need form factors to describe the B transition
  - ullet Inclusive measurements need Operator Product Expansion (OPE) and b mass to extract  $|V_{xb}|$

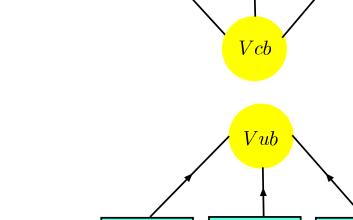




## **Exclusive and Inclusive Diagram**

### **♦** Experimental Description





 $E_{\ell}$ 

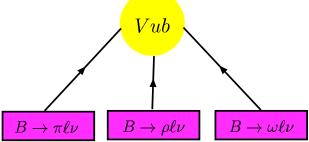
 $\langle E_{\ell}^n \rangle$ 

Inclusive Decays

HQEfit

 $\langle M_X^n \rangle$ 

 $M_X$ 

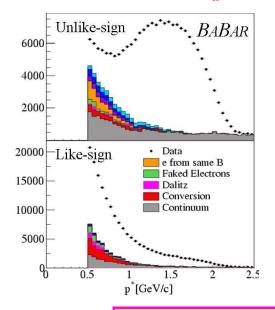


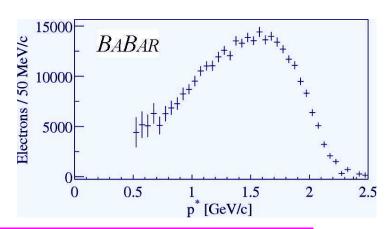
- $\square \ \ \text{Lepton Energy Moments}: \ M_\ell^{(n=2,3)} = \frac{\int_{E_{cut}}^\infty (E_\ell M_\ell^1)^n d\Gamma}{\int_{E_{cut}}^\infty d\Gamma} \text{,} \quad M_\ell^1 = \frac{\int_{E_{cut}}^\infty E_\ell d\Gamma}{\int_{E_{cut}}^\infty d\Gamma}$
- $\Box$  Hadron Mass Moments :  $M_X^{(n=1,2,3,4)} = \frac{\int_{E>E_{cut}} m_X^n d\Gamma}{\int_{E_{cut}}^\infty d\Gamma}$

**♦ Electron Energy Spectrum : di-lepton tag technique** 

BABAR: 47  $fb^{-1}$  (on-resonance) (PRD-RC 69, 111104, 2004)

- ☐ Select events with 2 electrons :
  - ullet One electron (1.4  $< p^* <$  2.3 GeV) to tag a  $B\overline{B}$  event
  - ullet The other electron ( $p^*>0.5$  GeV) to measure the electron spectrum
- $\square$  Measure partial  ${\cal B}$  and the moments for  $E_e>0.6$  GeV: account for  $B^0\overline B^0$  mixing, correct for Bremsstrahlung, Final State Radiation and subtract  $B\to X_u\ell\nu$  background





 ${\cal B}(B o X_c\ell
u) = (10.36\pm 0.06_{stat}\pm 0.23_{sys})\%$ 

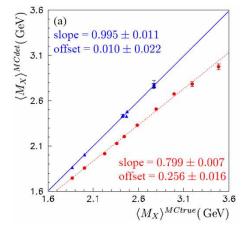
### **Hadronic Mass Moments**

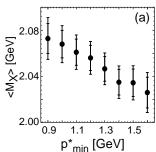
 $\Diamond$  Tag the events with a fully-reconstructed hadronic B decays

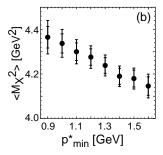
and find leptons with  $E_\ell > E_{cut}$  in the recoil B mesons

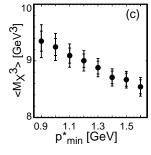
BABAR: 81  $fb^{-1}$  (on-resonance) (PRD-RC 69, 111103, 2004)

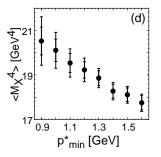
- Measured moments  $(\langle M_X^n \rangle)$  are calibrated with MC events  $(\langle M_X^{n\ true} \rangle)$ to eliminate its dependency on unknown masses of high charm states
- Validate calibration procedure with inclusive MC  $B \to X_c \ell \nu$











- **First four moments:**
- (a)  $\langle M_X \rangle$ , (b)  $\langle M_X^2 \rangle$ , (c)  $\langle M_X^3 \rangle$ , (d)  $\langle M_X^4 \rangle$

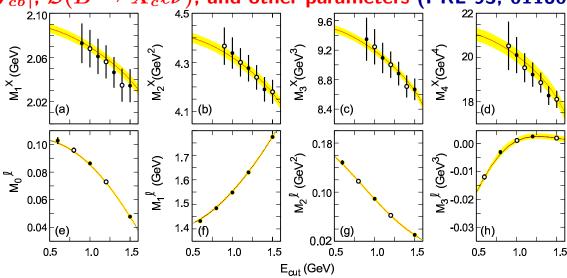
w.r.t. lepton momentum  $(p_{min}^*) \Longrightarrow$  they are highly correlated (right plots)

- The measured moments,  $\langle M_X^n 
  angle$ , increase as  $p_{min}^*$  decreases
  - ⇒ due to the presence of higher mass charm states

♦ Using previous hadron mass, electron energy moments measurements, kinetic mass

scheme ( $\mu=1$  GeV) by Gambino & Uraltsev (Eur. Phy. J. C34, 181, 2004),

we extract  $|V_{cb}|$ ,  $\mathcal{B}(B o X_c \ell 
u)$ , and other parameters (PRL 93, 011803, 2004) :



☐ Red line is OPE fit and yellow band is theory error

 $\mathbf{1}^{st}$  row is hadron mass moments and  $\mathbf{2}^{st}$  row is electron energy moments

 $\implies$  Data and theory predictions agree very well  $(\chi^2/ndf=20/15)$ 

$$|V_{cb}| = (41.4 \pm 0.4_{exp} \pm 0.4_{HQE} \pm 0.6_{th}) imes 10^{-3} ig| 
ightarrow \sigma(|V_{cb}|) = 2~\%$$

$${\cal B}(B o X_c\ell
u) = (10.61\pm 0.16_{exp}\pm 0.06_{HQE})\% \hspace{0.2cm} o \sigma({\cal B}) = 1.6~\%$$

$$\square \; m_b = (4.61 \pm 0.05_{exp} \pm 0.04_{HQE} \pm 0.02_{lpha_s}) \; {\sf GeV}$$

$$\square \; m_c = (1.18 \pm 0.07_{exp} \pm 0.06_{HQE} \pm 0.02_{lpha_s}) \; {\sf GeV}$$

## Inclusive $|V_{ub}|$ (Theory)

 $\diamondsuit$  Challenging problem for  $b o u \ell 
u$  is how to suppress  $b o c \ell 
u$  background

 $rac{\Gamma(b o u\ell
u)}{\Gamma(b o c\ell
u)}\sim rac{|V_{ub}|^2}{|V_{cb}|^2}\sim rac{1}{50}.$  One must take care the  $b o u\ell
u$  fraction  $(f_u)$  carefully

- $\square$  OPE framework doesn't converge e.g. near  $E_\ell$  endpoint
- □ Non-perturbative correction is described by Shape Function (SF) (light-cone momentum distribution of b quark inside B meson)

However, SF cannot be calculated, it has to be determined from :

- photon spectrum in  $b \to s \gamma$
- hadronic and lepton spectrum in  $b \to c \ell \nu$
- ☐ Theoretical Approaches :
  - OPE with ad-hoc inclusion of SF (DFN)

De Fazio, Neubert (JHEP 9906, 17, 1999); Kagan, Neubert (Eur. Phys. J. C7, 5, 1999)

• OPE for  $M_X - q^2$  cut for minimizing the SF effect (BLL)

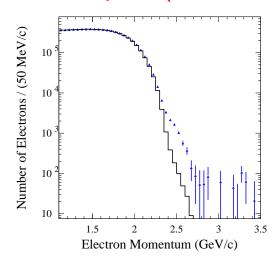
Bauer, Ligeti, and Luke hep-ex/0111387

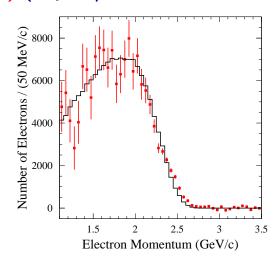
Improved OPE that incorporates SF systematically (BLNP)

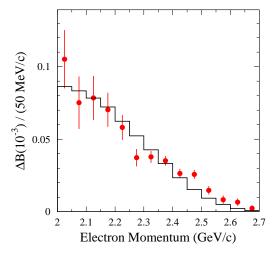
Bosch, Lange, Neubert, Paz Nucl. Phys. B 699, 335, 2004; Lange, Neubert, Paz hep-ph/0504071

 $\Diamond$  Use electrons: 2.0 <  $E_e$  < 2.6 GeV, correct det. resolution and final-state radiation

BABAR: 80  $fb^{-1}$  (on-resonance) (hep-ex/0509040 submitted to PRD)







- $\diamondsuit$  Data & MC  $B\overline{B}$  background
- ♦ Data & signal MC
- $\diamondsuit \Delta \mathcal{B} \text{ vs } p_e$

We measure partial and total branching fractions and extract  $\left|V_{ub}\right|$ :

$$\Delta \mathcal{B}(B o X_u \ell 
u) = (0.572 \pm 0.041_{stat} \pm 0.065_{sys}) imes 10^{-3}$$

$$\mathcal{B}(B o X_u\ell
u) = (2.27\pm0.26_{exp}\,{}^{+0.33}_{-0.28_{SF}}\pm0.17_{th-BLNP}) imes 10^{-3}$$

$$|V_{ub}| = (4.44 \pm 0.25_{exp} ^{+0.42}_{-0.38_{SF}} \pm 0.22_{th-BLNP}) imes 10^{-3} igg| 
ightarrow \sigma \sim 12~\%$$

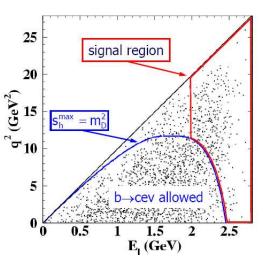
 $\diamondsuit$  SF parameters are based on  $b o c\ell
u$  and  $b o s\gamma$  from BABAR

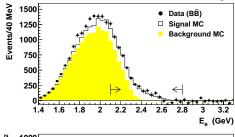
## $|V_{ub}|$ from $(E_\ell-q^2)$

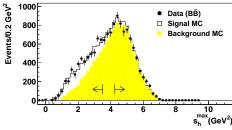
 $\Diamond$  Energy electrons:  $E_e > 2.0$  GeV, with electron-neutrino reconstruction

BABAR: 81  $fb^{-1}$  (on-resonance) (PRL 95, 111801, 2005)

 $\diamondsuit$  Estimate  $b \to c\ell\nu$  from  $b \to u\ell\nu$  using maximum hadronic mass squared  $(S_h^{max})$ 







 $\diamondsuit$  Signal :  $E_e > 2.1$  GeV,  $S_h^{max} < 3.5$  GeV $^2$ ,  $B\overline{B}$  bkg :  $S_h^{max} > 4.25$  GeV $^2$ 

$$\Delta \mathcal{B}(B o X_u \ell 
u) = (0.354 \pm 0.033_{stat} \pm 0.034_{sys}) imes 10^{-3}$$

 $\square \; |V_{ub}|$  is extracted from  $|V_{ub}| = \sqrt{\Delta \mathcal{B}/(\Delta \zeta imes au_B)}$ ,

 $\Delta \zeta$  is normalized partial rate and  $au_B = 1.604 \pm 0.023$  ps

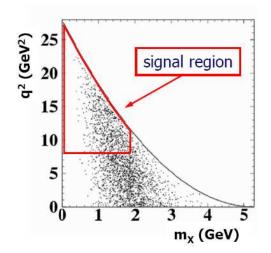
$$|V_{ub}| = (3.95 \pm 0.26_{exp} ^{+0.58}_{-0.42_{SF}} \pm 0.25_{th-BLNP}) imes 10^{-3} \ | 
ightarrow \sigma \sim 17 \ \%$$

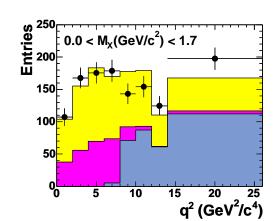
 $\diamondsuit$  SF parameters are based on  $b \to c \ell 
u$  moments from BABAR

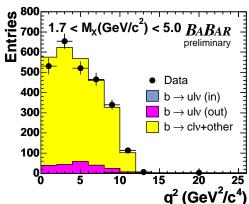
## $|V_{ub}|$ from $(M_X-q^2)$

 $\diamondsuit$  Select events with a fully reconstructed B and study recoil B

BABAR: 211  $fb^{-1}$  (on-resonance) (hep-ex/0507017 for LP2005)







 $\diamondsuit$  Signal region :  $M_X < 1.7$  GeV,  $q^2 > 8$  GeV $^2$ , blue is  $b \to u \ell \nu$  inside signal region

$$\Delta \mathcal{B}(B o X_u \ell 
u) = (0.87 \pm 0.09_{stat} \pm 0.09_{sys} \pm 0.01_{th}) imes 10^{-3}$$

$$|V_{ub}|^{BLL} = (4.82 \pm 0.26_{stat} \pm 0.25_{sys} \pm 0.46_{SF+th}) imes 10^{-3} \ \sigma \sim 12 \ \%$$

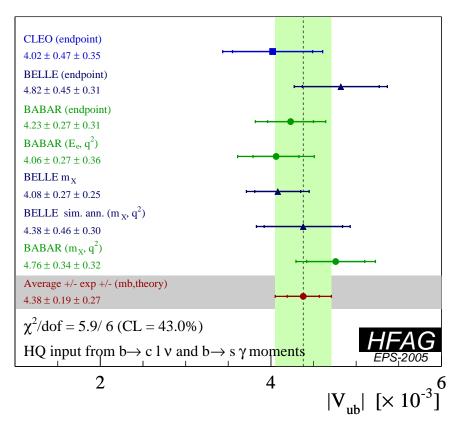
$$|V_{ub}|^{BLNP} = (4.65 \pm 0.24_{stat} \pm 0.24_{sys} ^{+0.46}_{-0.38_{SF}} \pm 0.23_{th}) imes 10^{-3}$$
  $\sigma \sim 13~\%$ 

 $\diamondsuit$  SF parameters are based on  $b \to c \ell \nu$  from BABAR

## Inclusive $|V_{ub}|$ (Summary)

#### ♦ New BABAR electron endpoint result is not in this summary yet

$$\implies |V_{ub}| = (4.44 \pm 0.25_{exp} ^{+0.42}_{-0.38_{SF}} \pm 0.22_{th-BLNP}) \times 10^{-3}$$



$$|V_{ub}|_{Avg} = (4.38 \pm 0.19_{exp} \pm 0.27_{[m_b,th]}) \times 10^{-3}$$

$${\cal B}(B o X_u\ell
u)_{Avg} = (2.18\pm 0.33) imes 10^{-3}$$

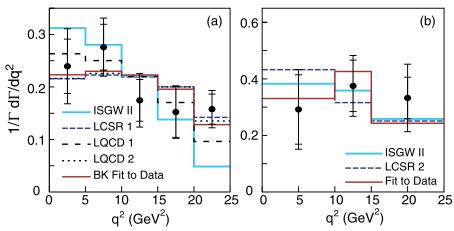
## Exclusive $|V_{ub}|$ and $|V_{cb}|$

 $\Diamond$  Determination of exclusive  $|V_{ub}|$  and  $|V_{cb}|$  is complicated due to strong interaction effects

These effects may be parameterized by Form Factors (squared four-momentum transfer)

- $\square$  BABAR measurements on exclusive  $|V_{ub}|$  :
  - Neutrino reconstruction (76/fb): (PRD-RC 72, 051102, 2005)

Modes :  $(B^0 o\pi^-\ell^+
u)$  &  $(B^0 o
ho^-\ell^+
u)$  ;  $|p_\ell^*|>1.3$  GeV,  $\pi/
ho$ ,  $|p_{miss}|>0.7$  GeV



 $\Diamond \ q^2$  with form-factor predictions : (a)  $B^0 o \pi^- \ell^+ 
u$  (b)  $B^0 o 
ho^- \ell^+ 
u$ 

$$|V_{ub}| = (3.82 \pm 0.14_{stat} \pm 0.22_{sys} \pm 0.11_{q^2} ^{+0.88}_{-0.52_{FF}}) imes 10^{-3}$$

• Semileptonic B decays :

$$\mathcal{B}(B^+ o \pi^0 \ell^+ 
u) = (1.80 \pm 0.37_{stat} \pm 0.23_{sys}) imes 10^{-4}$$
, (81/fb) (hep-ex 0506065)  $\mathcal{B}(B^0 o \pi^- \ell^+ 
u) = (1.03 \pm 0.25_{stat} \pm 0.13_{sys}) imes 10^{-4}$ , (211/fb) (hep-ex 0506064)

$$|V_{ub}| = (3.3 \pm 0.4_{stat} \pm 0.2_{sys} ^{+0.8}_{-0.4_{FF}}) imes 10^{-3}$$

## Exclusive $\left|V_{ub}\right|$ and $\left|V_{cb}\right|$

 $\square$  Fully hadronic recoil (211/fb):  $[q^2$  resolution  $(0.25\text{-}0.50 \text{ GeV}^2)]$  (hep-ex 0507085)

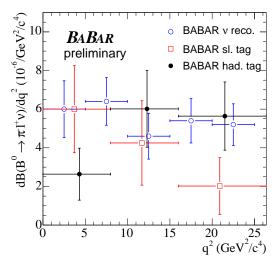
Selection : 
$$q^2 < 8~{
m GeV}^2$$
, 8-16  ${
m GeV}^2$ ,  $q^2 > 16~{
m GeV}^2$ ;  $p_\ell^* > 0.5~{
m GeV}$ ,  $p_\mu^* > 0.8~{
m GeV}$ 

$${\cal B}(B^0 o\pi^-\ell^+
u)=(1.14\pm0.27_{stat}\pm0.17_{sys}) imes10^{-4}$$

$${\cal B}(B^+ o\pi^0\ell^+
u)=(0.86\pm0.22_{stat}\pm0.11_{sys}) imes10^{-4}$$

$$|V_{ub}| = (3.7 \pm 0.3_{stat} \pm 0.2_{sys} ^{+0.8}_{-0.5_{FF}}) \times 10^{-3}$$

**♦ Compare with other BABAR measurements :** 



- $\square$  BABAR measurement on exclusive  $|V_{cb}|$ : (PRD-RC 71, 051502, 2005)
  - $\mathcal{B}(\overline{B}^0 \to D^{*+}\ell^-\bar{\nu}_\ell) = (4.90 \pm 0.07_{stat} ^{+0.36}_{-0.35})\%$  (79/fb)

Using LQCD calculation :  $\mathcal{A}_1 = \mathcal{F}(1) = 0.919^{+0.030}_{-0.035}$  (PRD 66, 014503, 2002)

$$|V_{cb}| = (38.7 \pm 0.3_{stat} \pm 1.7_{sys} ^{+1.5}_{-1.3_{th}}) imes 10^{-3}$$

## **Summary**

- $\Box$  A precision measurement of  $\frac{|V_{ub}|}{|V_{cb}|}$  would significantly improve the constraints on the Unitary Triangle in SM
  - **⇒** It could benchmark for New Physics
- $\square$  Current precision of  $|V_{ub}|$  and  $|V_{cb}|$  measurements :
  - $(\frac{\Delta |V_{ub}|}{|V_{ub}|}) = (3.3_{exp} \pm 2.9_{model} \pm 4.7_{SF} \pm 4.0_{th})\% = 7.6\%$
  - ullet  $(rac{\Delta |V_{cb}|}{|V_{cb}|}) =$  2%. (OPE fit of  $E_\ell$  and  $M_X$  moments by BABAR )
    - $\Longrightarrow$  It contributes directly to a precision of  $(\frac{\Delta |V_{td}|}{|V_{td}|})$
- $\square$  BABAR is aiming to measure  $(rac{\Delta |V_{ub}|}{|V_{ub}|})=5\%$

The current theoretical limit is  $\sim 5\%$ 

 $\square$  BABAR will double the data by summer 2006 (0.5  $ab^{-1}$ )

We hope to quadruple the data by 2008 (1  $ab^{-1}$ )